

Report

Cabinet



Part 1

Date: 15 November 2017

Subject Wales Audit Office Certificate of Compliance

Purpose To present Cabinet with the Certificate of Compliance issued by the Wales Audit Office (WAO) following an audit of the council's Improvement Plan 2016-18.

Author Head of People and Business Change

Ward All

Summary

- As part of the programme of regulatory activity the Auditor General has issued the council with a Certificate of Compliance following an audit of the Improvement Plan 2016-18.
- This is the first of two certificates that the authority will aim to achieve in each financial year.
- The second certificate will be as part of the Assessment of Performance Audit and the council's arrangements to secure continuous improvement.

Proposal Cabinet is requested to

- Note the positive outcome of the Certificate of Compliance in relation to the council's Improvement Plan 2016-18

Action by Cabinet

Timetable Immediate

This report was prepared after consultation with:

- Chief Executive
- Strategic Directors
- Heads of Service

Signed

Background

As part of the programme of regulatory activity the Auditor General has issued the council with a Certificate of Compliance following an audit of the Improvement Plan 2016-18.

This is the first of two certificates that the authority will aim to achieve in each financial year, the second certificate will be as part of the Assessment of Performance Audit and the council's arrangements to secure continuous improvement.

Through this Certificate the Auditor General for Wales Certifies that:

"...I believe that the Council has discharged its duties under section 15(6) to (9) of the Measure and has acted in accordance with Welsh Government guidance sufficiently to discharge its duties. "

Financial Summary:

The financial implications of actions and projects identified by this and other regulatory work will be reported and considered in the normal way, in accordance with the council's financial plans and regulations.

Risks:

As with the financial implications above, each individual action and wider Improvement Planning agenda will consider risk management practices and policy

Links to Council Policies and Priorities:

The Improvement Plan, Review and associated regulatory work all contribute to the council's key plans.

Options available:

- A) To note the positive Certificate of Compliance from the Auditor General for Wales in respect of the audit of the Improvement Plan 2016-18
- B) To disregard the Certificate

Preferred Option and Why:

Preferred option is (A) The Certificate of Compliance is positive and confirms that the council has discharged its duties under the Local Government Measure 2009 with regards to Improvement Planning

Comments of Chief Financial Officer

There are no direct financial implications arising from this report.

Comments of Monitoring Officer

There are no legal implications arising from the Report. The WAO Certificate of Compliance confirms that the Council has discharged its statutory duties in respect of improvement reporting in accordance with the requirements of the Local Government Measure 2009.

Staffing Implications: Comments of Head of People and Business Change

There are no direct staffing implications arising from this report. As report author my comments are captured within this report.

Comments of Cabinet Member

The Chair of Cabinet has been consulted and has agreed that this report goes forward to Cabinet for consideration

Local issues

None

Background Papers

Improvement Plan 2016-18

Wales Audit Office Certificate of Compliance

Dated: October 2017